Instructions Rev. 06/24/2003

COMMONWEALTH OF KENTUCKY

Instructions for Obtaining a Kentucky State ABC License

REQUIREMENTS:

- You must be at least 21 years of age to apply.
- You must be a Ky. Resident for the past year unless you apply as a corporation, LLC or Ltd. Partnership. b.
- You must be a citizen of the US unless you apply as a corporation, LLC or Ltd. Partnership ownership. C.
- Individuals, partners, officers, directors or managers may not apply if, within the past 5 years, they have been convicted d. of any felony; or within the past 2 years have been convicted of any alcohol or controlled substance misdemeanor.
- STEP 1. You must advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation in the area where the premises to be licensed is located. An officer of the newspaper must complete the affidavit of publication, which is enclosed. The completed affidavit and clipping must be attached to your application.
- STEP 2. Answer all questions and have the form notarized. Incomplete or deficient applications delay processing and your application may be returned.
- STEP 3. Attach a certified check, cashier check, or money order payable to: Kentucky State Treasurer for your application fee(s). See the attachment to determine the appropriate amount due based on license type and premises location.
- STEP 4. Kentucky residents must submit the appropriate fee payable to: Kentucky State Treasurer for the Administrative Office of the Courts to obtain a statewide Kentucky police record check. The cost is \$10.00 per person and a record check must be conducted on all persons listed in the Basic Application under Section D-5. You may submit one (1) check for all backgrounds provided this check is separate from your check for licensing fees. Non-residents are responsible for providing a statewide police record check from their state(s) of residence for the past five (5) years. If you have not lived in Kentucky for five (5) years, you must submit a statewide police record check from the state(s) previously resided in for those years along with your fee for Kentucky background checks.
- STEP 5. If you apply as a corporation, limited partnership, or limited liability company, attach a copy of your articles of incorporation; partnership papers, or organizational papers form the Secretary of State. Your company must be approved to do business in the state of Kentucky and in good standing with the Kentucky Secretary of State's Office.
- If you do not own the real estate where you will sell alcohol, attach a signed copy of your lease. All lease agreements STEP 6. must run through the full period of your license.
- Contact the Kentucky Revenue Cabinet at (502) 564-3306 to obtain the proper Kentucky sales tax numbers for your STEP 7. business. Your license will not be issued without these numbers.
- STEP 8. Attach any other required affidavits such as: fire marshal certificate of seating capacity for restaurants; surety bonds for manufacturers and wholesalers, etc... Your Schedule(s) will list any attachments you need to make.
- STEP 9. Take your application(s) to your local ABC administrator and obtain their signature of approval on your state applications(s).

New licenses take approximately 30 – 60 days to process. If your license is not issued for any reason, you must submit a written request for a refund. The Department will retain \$50 of your application fee for processing costs.

If you have any questions or need assistance, please contact our department or visit our web site.

http://abc.ppr.ky.gov

Department of Alcoholic Beverage Control FRANKFORT:

1003 Twilight Trail Frankfort, KY 40601-8400 (502) 564-4850 phone (502) 564-1442 fax

You are required to obtain a Federal Special "Occupational Tax" License from the Alcohol and Tobacco Tax and Trade NOTE: Bureau (TTB) for \$250 per year. You must contact their office to obtain an application form and information about your federal permit:

> Alcohol and Tobacco Tax and Trade Bureau, Telephone Number (513) 684-3334 National Revenue Center

550 Main St., Cincinnati, Ohio 45202-3263

TYPE OF LIQUOR AND WINE LICENSES AVAILABLE BY AREAS

		<u> </u>				
Areas qualified to hold any type of liquor and or wine by the drink license <u>OR</u> by the package license.						
	ass Cities	Jefferson County				
2 nd . Cla	ass Cities	City of Ashland	Daviess County			
		City of Bowling Green	Fayette County			
		City of Richmond	Franklin County			
		Campbell County				
		Christian County	Kenton County			
			McCracken County			
3 rd . Cla	ass Cities	City of Nicholasville	Clark County			
		City of Pikeville	Mason County			
		Boone County	Perry County			
415		Bourbon County				
4 th . Cla	ass Cities	City of Augusta	City of Morehead			
		City of Bardstown	City of Shepherdsville			
		City of Carrollton				
		Areas qualifie	ed to hold by the package licer	nses only.		
4 th Cla	ass Cities	City of Central City	Anderson County	Nelson County		
	200 000	City of Cumberland	Bracken County	Nicholas County		
		City of Eminence	Bullitt County	Union County		
		City of Falmouth	Floyd County	Washington County		
		City of Russellville	Fulton County	Woodford County		
		City of Springfield	Magoffin County	Troomer a county		
		City of Vanceburg	Marion County			
5 th Cla	ass Cities	Gallatin County	manen county	l		
0 . 0.0	200 011100	Meade County				
		Wolfe County				
		Areas qualifie	d to hold Sunday Liquor drink	Licenses.		
SD	All by the dr	ink licensees in Campbell a	nd Kenton Counties.			
LS			ess, Fayette, and Jefferson Cou Green, Maysville, Owensboro, a	nties, Franklin County (outside city limits and Shelbyville.		
LLS	Qualifying 7	0% food restaurants in the 0	Cities of Elizabethtown and Rado	eliff.		
RS	LD and PC	licensees in Jefferson Coun	ty.			
ESL	All wet area	s holding Convention Cente	rs, Automobile Race Tracks, Ho	rse Race Tracks, and Commercial Airport		
	Licenses.					
Areas	s that qualify	to hold restaurant drink li (RWL	quor with 50% food sales (RD) .), or private club (PC) license:	, motel drink liquor (ML), restaurant wine s.		
1 st . Cla	ass Cities	As listed above				
	ass Cities	As listed above				
3 rd . Cla	ass Cities	As listed above				
	ass Cities	City of Carlisle	RD, ML and RWL licenses	3		
		City of Central City	RD, ML, RWL and PC lice			
		City of Cynthiana	RWL and PC licenses			
		City of Fulton	RD, ML and RWL licenses	S.		
		City of Madisonville	RD, ML, RWL and PC lice			
		City of Mt. Sterling	RD, ML, RWL and PC lice			
		City of Prestonsburg	RD, ML and RWL licenses			
		City of Salyersville	RD, ML and RWL licenses			
		City of Shelbyville	RD, ML and RWL licenses			
		City of Versailles	RD, ML and RWL License			
		Carroll County	RD, ML and RWL licenses			
		Harrison County	RWL and PC licenses			
		Marion County	RD, ML and PC licenses			
		Nelson County	RD, ML and RWL licenses	3		
		Union County	RD, ML and RWL licenses	3		

Page-2-Liquor/Wine by Areas Rev. 06/24/2003

TYPE OF LIQUOR AND WINE LICENSES AVAILABLE BY **AREAS**

Areas that qualify to ho	Areas that qualify to hold Limited Restaurant Liquor/Wine/Beer by the Drink with 70% food sales by election					
01. (D. 11.						
City of Danville	City of Murray					
City of Elizabethtown	City of Radcliff					
City of Georgetown	County of Shelby					
City of Guthrie						
City of Kuttawa						
	fy to hold Golf Course Liquor/Wine/Beer by the Drink Licenses in limited elections					
Madison County	Arlington Golf Course					
Madison County	Bull Run Golf Course					
Jessamine County	Champions Golf Course in Nicholasville					
Union County	Breckinridge Golf Course					
Shelby County	Persimmon Ridge Golf Course					
Hardin County	Pine Valley Golf course					
	Fine valley Golf Course					

Page 1 Pick A Fee Rev. 12/01/2002

HOW TO FIGURE STATE ABC LICENSE FEE (S) Pick the County where your premises are to be located from this chart. Pick the month you want the license(s) to become effective.

- 3. Which fee will you pay? ☐ Full Year Fee ☐ Half Year Fee
- 4. Go to the back page of your application <u>"Schedule"</u> and find the exact dollar (\$) amount to pay.

	All other applicants use this table						
COUNTY WHERE PREMISES ARE	PAY FULL YEAR FEE	PAY HALF YEAR FEE					
LOCATED	For licenses issued between	For licenses issued between					
Anderson	July – December	January – June					
Boone	October – March	April – September					
Bourbon	July – December	January – June					
Boyd	July – December	January – June					
Boyle	June – November	December - May					
Bracken	July – December	January – June					
Bullitt	February – July	August – January					
Calloway	April – September	October – March					
Campbell	November – April	May – October					
Carroll	July – December	January – June					
Christian	April – September	October - March					
Clark	May – October	November – April					
Daviess	February – July	August – January					
Floyd	June – November	December – May					
Franklin	July – December	January – June					
Fulton	April – September	October – March					
Gallatin	July – December	January – June					
Hardin	February – July						
Harlan	June – November	August – January December – May					
Harrison	June – November	December – May					
Henderson	March – August	September – February					
Henry	July – December	January – June					
Hopkins	May – October	November – April					
Jessamine	May – October	November – April					
Kenton	December – May	June – November					
Lewis	July – December	January – June					
Logan	May – October	November – April					
Lyon	April – September	October – March					
Madison	June – November	December – May					
Magoffin	June – November	December – May					
Marion	May – October	November – April					
Mason	July – December	January – June					
McCracken	April – September	October – March					
Meade	February – July	August – January					
Montgomery	June – November	December – May					
Muhlenberg	May – October	November - April					
Nelson	May – October	November – April					
Nicholas	July – December	January – June					
Pendleton	July – December	January – June					
Perry	June – November	December - May					
Pike	July – December	January – June					
Rowan	July – December	January – June					
Scott	July – December	January – June					
Shelby	July – December	January – June					
Todd	May – October	November – April					
Union	March – August	September – February					
Warren	May – October	November - April					
Washington	May – October	November – April					
Wolfe	July – December	January – June					
Woodford	July – December	January - June					
VVOCATORA	daily December	January - June					

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HOW TO FIGURE STATE ABC LICENSE FEE (S)

If licenses will be issued in Fayette County (Lexington) or Jefferson County (Louisville)

- 1. Pick the County where your premises are to be located from this chart.
- 2. Pick the month you want the license(s) to become effective.
- 3. Which fee will you pay? ☐ Full Year Fee ☐ Half Year Fee
- 4. Go to the back page of your application <u>"Schedule"</u> and find the exact dollar (\$) amount to pay.

Fayette County (Lexington Ky.) Applicants use this table **Fayette County** PAY FULL YEAR FEE PAY <u>HALF</u> YEAR FEE Zip Code of Premises For licenses issued between For licenses issued between 40501 to 40505 October – March April – September 40506 to 40509 November – April May - October 40510 to 41906 June - November December – May

Jefferson County (Louisville, Ky.) Applicants use this table Jefferson County PAY FULL YEAR FEE PAY HALF YEAR FEE Zip code of Premises For licenses issued between For licenses issued between 40023 February – July August - January 40025 to 40027 March - August September – February 40041 June – November December – May 40059 March - August September – February 40118 April - September October - March 40177 April - September October - March 40201 to 40202 December - May June – November 40203 to 40204 November – April May - October 40205 February – July August – January 40206 October – March April – September 40207 June – November December - May 40208 to 40209 June – November December - May April – September October - March 40210 to 40212 40213 to 40216 March – August September - February 40217 to 40218 February – July August - January September – February 40219 March - August August - January 40220 to 40242 February – July 40243 to 40251 March - August September – February 40252 February – July August - January 40253 to 40256 March - August September – February 40257 February – July August - January 40258 October - March April – September 40259 March – August September – February 40261 to 40266 December - May June -November October – March 40268 April - September 40269 February – July August - January 40270 to 40289 October - March April – September November – April 40290 to 40291 May - October 40292 June – November December - May 40293 to 40298 November – April May - October 40299 February – July August - January

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EXAMPLE OF PUBLIC NOTICE WHEN APPLYING FOR AN ABC LICENSE

KRS 243.360 requires a person to first advertise their intention to apply for these licenses in the newspaper. Please use the following to assist you with this requirement. Place your advertisement in the legal section of the newspaper having the largest circulation for the county or city where your premises will be located.

YOUR ADVERTISEMENT SHOULD READ AS FOLLOWS: (Fill in the blanks)

			···	, Mailing address
(List the Name of each individ	ual owner(s) or the name of the	e Corporation, L	td, or L.L.C. the license will be	issued under)
			Here	by declares intention(s)
	(Include Street, City, Sta	te and Zip)		
to apply for a				license(s)
(List <u>all license types</u>)	ou are applying for. (Example)	Retail Liquor b	y the Drink, Retail Beer, Restau	ırant Liquor by the Drink,
	Retail Liquor Package, F	Restaurant Wine	by the Drink and so on)	
no later than			, The busines	s to be licensed will be
(Enter the	date you intend to make applic	ation to the Sta	te ABC)	
located at			Kent	ucky
(List the <u>EX</u>	ACT street address and city wi	here the ABC lid	ense is to be issued)	(Zip)
doing business as				
	•	-	business (D.B.A.))	
The (owner(s); Principal O	fficers and Directors; Limited		Members) are as follows:	
······································		of		
Title or position	Name		Home address, city, sta	·
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
,		of		
Title or position	Name		Home address, city, sta	ate and zip code
		of		

Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Department of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, Ky. 40601-8400, within 30 days of the date of this legal publication. (End of advertisement)

Forward a clipping of this advertisement along with the Affidavit of Publication to:

Kentucky Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400 (502) 564-4850 phone (502) 564-1442) fax Page 2 – Advertisement Rev. 08/10/2002

Commonwealth of Kentucky Department of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400

(502) 564-4850 phone (502) 564-1442 fax

AFFIDAVIT OF PUBLICATION

<u>Attesting Publication of Intention to Engage in an</u>
<u>Alcoholic Beverage Business</u>



The following Affidavit of Publication is to be executed by an officer of the newspaper in which the application advertised, one time before date of application for an alcoholic beverage license, his/her intention to engage in the business authorized by the license applied for. A clipping of the advertisement must be attached to this Affidavit of Publication.

			of	
	(Name of Officer at Newspaper)		(City)	(State)
Being first dul	y sworn, says that he / she is			
		(Title of	Position at Paper)	
of the			_ a newspaper printed	and published in the
	(Name of Newspaper)			
State of	County of	, and h	aving a general circul	ation in the County of
Published in s	aid newspaper on the following da Signature			
Subscr	ribed and sworn to before me, a No	otary Public within a	and for the State and	County aforesaid, by
	to me personal	ly known, this	day of	(year)
My Commissio	on expires theday of			(year)
County of	No	etary Public		

THIS AFFIDAVIT PROPERLY EXECUTED MUST BE ATTACHED TO THE ABC APPLICATION FOR LICENSING.

LEASE AGREEMENT

I, (We)	·
hereby agree to lease to	
the premises located at	
	,
	inCounty, Kentucky.
The said lease sha	all be for a term of,
beginning	and ending
The rent shall be payabl	e at a rate of
I understand and	agree upon, that the premises herein named shall be use
for lawful purposes only	<i>I</i> .
	Lessor X
	Lessor X
	Lassaa V
	Lessee X
	Lessee X
Subscribed and sworn to	before me, a Notary Public, on this the
day of	, 20, by the above Lessor and Lessee.
	Notary Public
My commission expires	·

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COMMONWEALTH OF KENTUCKY DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

Site I.D. #

1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502.564.4850 phone
502.564.1442 fax

"BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSES"

Applications may be returned if all questions are not answered completely.

License # \$	Leave Blank	- For ABC Use Only License #		\$		_ Val	
License # \$							
Malt Beverage Administrator's Approval	alt Beverage Administrator's Approval Date						
Distilled Spirits Administrator's Approval	I				Date		
(A) Applicant's name(s) or company to be				(B) 1.			
DBA (Name of Business)				issue	d in the appli	umbers (must be cant's name).	
Address of premises to be licensed				Failure to provide the number or discrepancies in the name will prevent this application from being processed.			
City Coun	ty State	9 digit zip code				ax #	
Mailing address if different from above							
Contact person 8:00 am – 4:30 pm	e-mail addr	ess				ax #	
Contact phone	FaxPremise	s phone		Ky. C	orporate Tax	(#	
List all schedules you have attached _	Enter amount of fee	enclosed \$	· · · · · · · · · · · · · · · · · · ·	Fede	al EIN #		
4. Are you the owner of the real esta If no, you must attach a signed or full period of your license expiration	premises real estates s proprietor, partner(s) and all pers	licensed?	nse(s) unless	this lease Giv	extends thro	Yes pugh the expires	
	If additional space is need						
NAME AND ADDRESS	ALL PHONE NUMBERS H = HOME W = WORK F = FAX 0 = OTHER	SOCIAL SECURITY NUMBER	TITLE	USA CITIZEN	DATE OF BIRTH	LIST DATE & STATE WHERE YOU RESIDED IN PAST 5 YRS.	% OF OWNERSHIP
	H W F O			☐ Yes			%
	H W F O			☐ Yes			%
	H W F O			☐ Yes			%

Pag	ge 2- ABC Basic- Rev. 08/10/2002	Site I.D. #				
(E)						
6.	List the State Incorporated or organized in					
7.	Attach a copy of your Articles of Incorporation, which shows the filing date with the Kentucky Secretary of State's Office. Is the entire license fee paid by the applicant and by no other person?					
8.	Are the premises to be licensed located within an incorporated city or town? If yes, list the name of the city or town					
9.	Have you ever been licensed to sell alcoholic beverages? If yes, give the name of the state and license number(s)	□Yes □No				
	If Kentucky, are you transferring this license to a new location?	□Yes □No				
10.	Does anyone named in section D 5 of this application have any interest in any kind of alcoholic beverage business of any alcoholic beverage business other than that for which you are herein applying? If yes, describe the interest(s)	s or the premises ☐Yes ☐No				
11.	a. Has the applicant or any person named in section D 5 been convicted of any felony?b. Has the applicant or any person named in section D 5 been convicted of a misdemeanor directly or indirectly real controlled substance?	□Yes □No elated to alcohol or □Yes □No				
	If yes to either question, attach a statement giving a full explanation, including date(s) of conviction(s).	_ 1 C3 _ 1 NO				
12.	Has a license been suspended or revoked or denied for the premises or any person named herein? If yes, attach a statement giving a full explanation, including dates of suspension, revocation, or denial.	□Yes □No				
13	Are the premises to be licensed and the entrance located on the street level?	□Yes □No				
10.	If no, is the business a hotel, club or restaurant?	□Yes □No				
14.	a. Have the premises been licensed to sell alcoholic beverages in the past twelve months?	□Yes □No				
	b. Are the premises currently licensed?	□Yes □No				
	c. If yes, give the Kentucky License number (s)					
	d. Is the license being transferred to you?	□Yes □No				
15.	Are you acquiring an interest in an existing business?	□Yes □No				
	If yes, check all the following boxes that apply to you. ☐ Inventory ☐ Fixtures and Equipment					
		er				
<i>(F)</i> I (w	THE SELLER SHOULD COMPLETE THIS SECTION IF ITEM # 15 HAS BEEN ANSWERED ' OR IF SOMEONE IS TRANSFERRING THEIR LICENSE (S) TO YOU. the seller(s) or o	YES" owner(s) of the business known				
`	(Enter the exact name(s) that appears on the current license(s)	(-)				
as	located at	Kentucky, am the				
holo		ther) license(s). The license				
nun	nber(s) is (are) I hereby represent that I have agreed to convey a					
by I	aw) to I (we) understand that I (we) <u>may not</u> re (Enter the exact name(s) that is applying to become the new licensee)	elinquish control of the business,				
prei	(Enter the exact name(s) that is applying to become the new licensee) mises, or my interest in the licenses until such time as the buyer's application has been approved by the Departmen	nt of Alcoholic Beverage Control.				
Sig	nature of Seller Title	Date				
Swe	orn or affirmed before me on this day of, year of My Commission expires _					
Notary Public County of State of (Canadian applicants are exempt from this notary requirement)						
(G)	AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)					
I, (
Sig	nature of Buyer or New Applicant Title	Date				
	Sworn or affirmed before me on this day of, year of My Commission expires					
	ary Public County of Solution of County of County of Solution of County of Solution of					

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SCHEDULE "I"

Site ID#

SPECIAL INDUSTRIAL ALCOHOL, NONINDUSTRIAL ALCOHOL AND SPECIAL NONBEVERAGE ALCOHOL VENDOR

			LEAVE BLANK	K – FOR ABC USE ONLY		
License	#	\$	Validating #	License #	\$	Validating #
Distilled	Spirits Administra	tor's Approval_				Date
Malt Bev	verage Administra	tor's Approval _				Date
Applic	ant's name(s) or compa	ny to be licensed			
D.B.A.	(Name of Bu	siness)				
Addres	ss of premise	es to be lice	nsed			
1.	If yes, KRS 24	3.320 require	cial Industrial Alcohol Li es you to purchase your al sed for non-beverage pur	cohol only from a distille		Yes □ No
			ving boxes that apply to your cts □ pharmaceutical □		• • •	
	□ syrups and	I food product	s scientific chemic	cal 🗆 mechanical 🗀 ir	ndustrial products.	
2.	If yes, KRS 24	3.330 allows	cial Nonindustrial Alcoho you to import alcohol or p Alcohol Vendor Licensee a	urchase alcohol in Kenti	ucky only from a ho	Yes □ No der
	a. Check 🗸	the following	boxes that apply to you.	□ bona fied hospital □	laboratory mus	eum
	□ educationa	ıl or charitable	e institution drug store	employing a licensed pl	harmacist licens	ed physician
3.			ial Nonbeverage Alcoho you to sell to Special Indu			Yes □ No ohol
I do hereby solemnly swear or affirm that all statements contained in this application and all attachments are true and correct to the best of my knowledge, information and belief. I incorporate this schedule into my basic application for a Kentucky alcoholic beverage license. I understand I may not begin to operate with alcohol activity until the Kentucky ABC Department has issued my license(s). I further swear or affirm I shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use of and trafficking in alcoholic beverages.						
Signat	ure of Applic	ant		т	itle	Date

You may now forward this application, all attachments, and your state license fee to:

Commonwealth of Kentucky Department of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400

> Telephone (502) 564-4850 Fax (502) 654-1442

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TYPES OF LICENSES & FEES

Site ID#

To determine the ABC license fee, find the license type(s) in the left column, and then move right across the table to the month that the license will become effective.

Attach a certified check, cashier check, or a money order for your license fees.

Make payable to: KENTUCKY STATE TREASURER

LICENSE TYPE	PREFIX	•	FULL YEAR FEE Pay this amount	HALF YEAR FEE Pay this amount
SPECIAL INDUSTRIAL ALCOHOL	IA		50.00	25.00
SPECIAL NONINDUSTRAIL ALCOHOL	NI		50.00	25.00
SPECIAL NONBEVERAGE ALCOHOL VENDOR	NBA		50.00	25.00

	CHECK LIST						
1.	Have you answered each question fully?	☐ Yes ☐ No					
2.	Have you signed and had your application notarized?	☐ Yes ☐ No					
3. Have you attached a certified check, cashier check or money order, payable to: Kentucky State Treasurer for your license fees and a separate check for your							
	Kentucky background checks?	☐ Yes ☐ No					
4.	Have you attached a signed and dated copy of your lease?	☐ Yes ☐ No					
5.	Have you attached a certified copy of your newspaper advertisement?	☐ Yes ☐ No					
	You may now forward this application, all attachments, and your state license fee to:						
	Commonwealth of Kentucky Department of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400						

Telephone (502) 564-4850 Fax (502) 564-1442